

23f. Annual non-recurring (one-time) charges: 2435326.35	23g. Ineligible non-recurring amt.: 0
23h. Annual pre-discount amount for eligible non-recurring charges ( 23f - 23g): \$2,435,326.35	
23i. Total program year pre-discount amount ( 23e + 23h): \$2,435,326.35	
23j. % discount (from Block 4): 80	
23k. Funding Commitment Request ( 23i x 23j): \$1,948,261.08	

FRN: 1768757 FCDL Date: 10/07/2008	
10. Original FRN:	
11. Category of Service: Internal Connections	12. 470 Application Number: 387610000596350
13. SPIN: 143008119	14. Service Provider Name: Information Transport Solutions, Inc.
15a. Non-Contracted tariffed/Month to Month Service:	15b. Contract Number: ALJP2007-0103
15c. Covered under State Master Contract: Y	15d. FRN from Previous Year:
16a. Billing Account Number:	16b. Multiple Billing Account Numbers?:
17. Allowable Contract Date: 12/15/2006	18. Contract Award Date: 01/08/2007
19a. Service Start Date: 07/01/2008	19b. Service End Date:
20. Contract Expiration Date: 06/30/2009	
21. Attachment #: YR11#4-Tandb-DL	22. Block 4 Worksheet No.: 930110
23a. Monthly Charges: \$0.00	23b. Ineligible monthly amt.: \$0.00
23c. Eligible monthly amt.: \$0.00	23d. Number of months of service: 12
23e. Annual pre-discount amount for eligible recurring charges ( 23c x 23d): \$0.00	
23f. Annual non-recurring (one-time) charges: 10025	23g. Ineligible non-recurring amt.: 0
23h. Annual pre-discount amount for eligible non-recurring charges ( 23f - 23g): \$10,025.00	
23i. Total program year pre-discount amount ( 23e + 23h): \$10,025.00	
23j. % discount (from Block 4): 80	
23k. Funding Commitment Request ( 23i x 23j): \$8,020.00	

The "state's  
Form 470"

### Block 6: Certifications and Signature

Application ID: 592679

Do not write in this area.


Entity Number	128086	Applicant's Form Identifier	MPS#4-Y111C
Contact Person	Niketa Dean	Phone Number	334-269-3830

### Block 6: Certifications and Signature

24. ☒ I certify that the entities listed in Block 4 of this application are eligible for support because they are: (check one or both)
- a. ☒ schools under the statutory definitions of elementary and secondary schools found in the **No Child Left Behind Act of 2001, 20 U.S.C. Secs. 7801(18) and (38)**, that do not operate as for-profit businesses, and do not have endowments exceeding \$50 million; and/or
- b. ☐ libraries or library consortia eligible for assistance from a State library administrative agency under the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any schools including, but not limited to elementary, secondary schools, colleges, or universities
25. ☒ I certify that the entity I represent or the entities listed on this application have secured access, separately or through this program, to all of the resources, including computers, training, software, internal connections, maintenance, and electrical capacity, necessary to use the services purchased effectively. I recognize that

some of the aforementioned resources are not eligible for support. I certify that the entities I represent or the entities listed in this application have secured access to all of the resources to pay the discounted charges for eligible services from funds to which access has been secured in the current funding year. I certify that the Billed Entity will pay the non-discount portion of the cost of the goods and services to the service provider(s).

a.	Total funding year pre-discount amount on this Form 471 (Add the entities from Item 23l on all Block 5 Discount Funding Requests.)	\$11,476,020.28
b.	Total funding commitment request amount on this Form 471 (Add the entities from Items 23K on all Block 5 Discount Funding Requests )	\$9,180,816.23
c.	Total applicant non-discount share (Subtract Item 25b from Item 25a )	\$2,295,204.05
d.	Total budgeted amount allocated to resources not eligible for E-rate support	\$497,634.00
e.	Total amount necessary for the applicant to pay the non-discount share of the services requested on this application AND to secure access to the resources necessary to make effective use of the discounts. (Add Items 25c and 25d )	\$2,792,838.05
f.	<input checked="" type="checkbox"/> Check this box if you are receiving any of the funds in Item 25e directly from a service provider listed on any Forms 471 filed by this Billed Entity for this funding year, or if a service provider listed on any of the Forms 471 filed by this Billed Entity for this funding year assisted you in locating funds in Items 25e.	

26. ☒ I certify that all of the schools and libraries or library consortia listed in Block 4 of this application are covered by technology plans that are written; that cover all 12 months of the funding year, and that have been or will be approved by a state or other authorized body, and an SLD-certified technology plan approver, prior to the commencement of service. The plans are written at the following level(s):
- a. ☐ an individual technology plan for using the services requested in this application; and/or
  - b. ☒ higher-level technology plan(s) for using the services requested in this application; or
  - c. ☒ no technology plan needed; applying for basic local, cellular, PCS, and/or long distance telephone service and/or voice mail only.
27. ☒ I certify that I posted my Form 470 and (if applicable) made my RFP available for at least 28 days before considering all bids received and selecting a service provider. I certify that all bids submitted were carefully considered and the most cost-effective service offering was selected, with price being the primary factor considered, and is the most cost-effective means of meeting educational needs and technology plan goals.
- 

0 4 7 0 0 1 0 1 0
28. ☒ I certify that the entity responsible for selecting the service provider(s) has reviewed all applicable FCC, state, and local procurement/competitive bidding requirements and that the entity or entities listed on this application have complied with them.
29. ☒ I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value, except as permitted by the Commission's rules at 47 C.F.R. Sec. 54.500(k). Additionally, I certify that the Billed Entity has not received anything of value or a promise of anything of value, other than services and equipment requested under this form, from the service provider(s) or any representative or agent thereof or any consultant in connection with this request for services.
30. ☒ I certify that I and the entity(ies) I represent have complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments. There are signed contracts covering all of the services listed on this Form 471 except for those services provided under non-contracted tariffed or month-to-month arrangements. I acknowledge that failure to comply with program rules could result in civil or criminal prosecution by the appropriate law enforcement authorities.
31. ☒ I acknowledge that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service, receive an appropriate share of benefits from those services.
32. ☒ I certify that I will retain required documents for a period of at least five years after the last day of service delivered. I certify that I will retain all documents necessary to demonstrate compliance with the statute and Commission rules regarding the application for, receipt of, and delivery of services receiving schools and libraries discounts, and that if audited, I will make such records available to the Administrator. I acknowledge that I may be audited pursuant to participation in the schools and libraries program.
33. ☒ I certify that I am authorized to order telecommunications and other supported services for the eligible entity (ies) listed on this application. I certify that I am authorized to submit this request on behalf of the eligible entity (ies) listed on this application, that I have examined this request, that all of the information on this form is true

and correct to the best of my knowledge, that the entities that are receiving discounts pursuant to this application have complied with the terms, conditions and purposes of this program, that no kickbacks were paid to anyone and that false statements on this form can be punished by fine or forfeiture under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under the Title 18 of the United States Code, 18 U.S.C. Sec. 1001 and civil violations of the False Claims Act.

34. ☒ I acknowledge that FCC rules provide that persons who have been convicted of criminal violations or held civilly liable for certain acts arising from their participation in the schools and libraries support mechanism are subject to suspension and debarment from the program. I will institute reasonable measures to be informed, and will notify USAC should I be informed or become aware that I or any of the entities listed on this application, or any person associated in any way with my entity and/or entities listed on this application, is convicted of a criminal violation or held civilly liable for acts arising from their participation in the schools and libraries support mechanism.
35. ☒ I certify that if any of the Funding Requests on this Form 471 are for discounts for products or services that contain both eligible and ineligible components, that I have allocated the cost of the contract to eligible and ineligible companies as required by the Commission's rules at 47 C.F.R. Sec. 54.504(g)(1),(2).
36. ☒ I certify that this funding request does not constitute a request for internal connections services, except basic maintenance services, in violation of the Commission requirement that eligible entities are not eligible for such support more than twice every five funding years beginning with Funding Year 2005 as required by the Commission's rules at 47 C.F.R. Sec. 54.506(c).
37. ☒ I certify that the non-discounted portion of the costs for eligible services will not be paid by the service provider. The pre-discount costs of eligible services features on this Form 471 are net of any rebates or discounts offered by the service provider. I acknowledge that, for the purpose of this rule, the provision, by the provider of a supported service, of free services or products unrelated to the supported service or product constitutes a rebate of some or all of the cost of the supported services.

38. Signature of authorized person

39. Signature Date 2/1/2008

**The Americans with Disabilities Act, the Individuals with Disabilities Education Act and the Rehabilitation Act may impose obligations on entities to make the services purchased with these discounts accessible to and usable by people with disabilities.**

**NOTICE:** Section 54.504 of the Federal Communications Commission's rules requires all schools and libraries ordering services that are eligible for and seeking universal service discounts to file this Services Ordered and Certification Form (FCC Form 471) with the Universal Service Administrator. 47 C.F.R. § 54.504. The collection of information stems from the Commission's authority under Section 254 of the Communications Act of 1934, as amended. 47 U.S.C. § 254. The data in the report will be used to ensure that schools and libraries comply with the competitive bidding requirement contained in 47 C.F.R. § 54.504. All schools and libraries planning to order services eligible for universal service discounts must file this form themselves or as part of a consortium.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or a potential violation of any applicable statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding. In addition, consistent with the Communications Act of 1934, FCC regulations and orders, the Freedom of Information Act, 5 U.S.C. § 552, or other applicable law, information provided in or submitted with this form or in response to subsequent inquiries may be disclosed to the public.

If you owe a past due debt to the Federal government, the information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other Federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide the information to these agencies through the matching of computer records when authorized.

If you do not provide the information we request on the form, the FCC may delay processing of your application or may return your application without action.

The foregoing Notice is required by the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. § 3501, et seq.

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing, and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this

collection of information, including suggestions for reducing the reporting burden to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, DC 20554.

**Please submit this form to:**

**SLD-Form 471  
P.O. Box 7026  
Lawrence, Kansas 66044-7026**

**For express delivery services or U.S. Postal Service, Return Receipt Requested,  
mail this form to:**

**SLD Forms  
ATTN: SLD Form 471  
3833 Greenway Drive  
Lawrence, Kansas 66046  
(888) 203-8100**

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# State Master Contracts & State Replacement Contracts

What We Do and Why We Do It

Schools and Libraries Division

Washington, DC • Seattle • Denver • Chicago • Newark • Los Angeles • Atlanta

September/October 2008

[www.usac.org](http://www.usac.org)



## Overview

- State Master Contracts
- Who Files Form 470?
- Multiple Providers
- State Replacement Guidance

## State Master Contracts

- A state master contract (SMC) is competitively bid and put in place by a state government for use by multiple entities in that state.
- Generally, involve State Purchasing Agency and an RFP process
- Must be on behalf of whole state – not just a consortium – and must be bid by a state agency.

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## State Master Contracts

- **Single winner**
  - Single vendor wins the bid
- **Multiple winners**
  - State awards contract to several bidders
  - Can be limited by geography or other factor
- **Multiple Award Schedule**
  - State awards contract for same goods and services to multiple vendors that can serve the same population

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## Prices & State Contracts

- Fixed price
  - No further documentation needed regarding pricing
- Price ceilings (highest price you can get)
  - Some contracts expect end users to further negotiate “best and final”. Applicants must retain documentation of the negotiations from each bidder, and comply with FCC rules.
- Price floors (lowest price you can get)
  - Again, additional work is needed by applicant to obtain specific pricing for their project from bidder(s). Must retain documentation and comply with FCC rules.

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## State Filed Form 470

- For single winner contracts, applicant does not have to justify vendor selection.
- Multiple winners always require vendor selection justification.
  - Geographic limitation to single winner: document explanation in an email.
- If multiple vendors can provide the services to you, then you must conduct a mini-bid based on FCC rules.
  - In this case, applicants must compare all available bidders in their mini-bid
- Contract Award Date = Date you decided to purchase off State Contract.
- Tip: Memorialize your decision to purchase off a State Contract in an email to yourself or the file.

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During the training presentation conducted in Atlanta on 10/21/08, Ms. Catriona Ayer, USAC/SLD confirmed that the 28 day waiting period does not apply to the mini-bid process and she stated there is no minimum waiting period.



## Mini-bid

- Used to determine winning provider when there is more than one provider listed on the SMC that can provide the desired services to an applicant
  - Do not need to file another Form 470
  - But comply with all FCC Rules regarding vendor selection (ie most cost effective, cost-effectiveness, keep vendor score sheet)
  - Retain documentation

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## Applicant filed Form 470

This scenario was not used.

- Conduct a competitive bidding process based on FCC rules
- Determine which bids to compare on SMC
  - If applicant makes decision solely from bid responses received from their Form 470, then compare just those that provided a bid. (Some may have been using the SMC vehicle, others may not.)
  - If applicant proactively looks for "bids" by going to the State Contract, the must compare all available bidders on the SMC
- Contract Award Date = Date you decided to purchase off State Contract.
- Tip: Memorialize your decision to purchase off a State Contract in an email to yourself or the file.

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## Selecting Between Multiple Winners

- Must be able to show how provider was selected and be in compliance with FCC rules
  - Be prepared to explain how you determined whom to compare
  - Price of the eligible goods and services was primary factor
  - Conduct a mini-bid
  - Document the process

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## What happens if...

- You just pick the provider you used before? They are on the State MAS.
  - If you cannot show that you compared all of the providers\* based on FCC rules, then your funding may be denied.
- You conduct a mini-bid but don't follow your own process?
  - Your funding may be denied.

\* = see previous slides for guidance on selecting among multiple providers

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